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Cas# 1:22-cv-00572-CFC Document 67 Filed 09/19/24 Page 1 of 68 PageID #: 1245
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                   IN THE UNITED STATES DISTRICT COURT
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                       FOR THE DISTRICT OF DELAWARE
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     BACKERTOP LICENSING LLC,
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               Plaintiff,
                                    )
                                      C.A. No. 1:22-cv-00573-CFC
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       V.
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     AUGUST HOME, INC.,
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               Defendant.
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     BACKERTOP LICENSING LLC,
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               Plaintiff,
                                    ) C.A. No. 1:22-cv-0572-CFC
10
       v.
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     CANARY CONNECT, INC.,
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               Defendant.
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                       Wednesday, September 18, 204
                                 90:00 a.m.
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                            Evidentiary Hearing
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18
                              844 King Street
                           Wilmington, Delaware
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       BEFORE: THE HONORABLE COLM F. CONNOLLY
       United States District Court Judge
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       APPEARANCES:
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                   FINGER & SLANINA LLC
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                   BY: DAVID L. FINGER, ESQ.
                            Counsel for the Plaintiff
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2 PROCEEDINGS

(Proceedings commenced in the courtroom beginning at 9:00 a.m.)

THE COURT: Please be seated.

Please be seated. Just give me a second to get situated with the computer.

Okay, thank you. Mr. Finger, good morning.

MR. FINGER: Good morning, Your Honor.

Your Honor, of course, Ms. LaPray is here to answer Your Honor's questions.

Before that, I respectfully make a motion that the Court either waive or abate the fine. The only basis for that is judicial leniency, and Ms. LaPray doesn't have that kind of money, and I know Your Honor could say that's the risk she took. But we are where we are.

And I'm sure when I would say she doesn't have the means, Your Honor would say, show me the documents, which we do not have here today because she works at home without a printer and things like that or a scanner. But if Your Honor allows, we would be happy to submit them within a week for Your Honor's in camera review.

THE COURT: Why didn't you try to submit them?

You've had weeks to prepare for this. Why now? 1 MR. FINGER: I understand, Your Honor, but 2 3 there was a lot of discussion back and forth about how we do that, and I didn't have entire control of it. 4 5 THE COURT: Who had control of it? MR. FINGER: Well, there was a question of who 6 7 was going to pay, and perhaps we were thinking that Mavexar would pay it on her behalf. Discussions went back 8 9 and forth on that and whether to file the motion or 10 anything. And it just never got resolved. THE COURT: Well, did you ask Mavexar or 11 12 IP Edge or any of the individuals associated with those 13 two entities to pay it? 14 MR. FINGER: Yes. 15 THE COURT: And did they refuse? MR. FINGER: They did not overtly refuse. They 16 17 said that they had no plans for that. However, Ms. LaPray, if Your Honor asks her, she'll testify that she 18 19 was informed by them that they would pay. 20 THE COURT: Well, why don't we hear that. 21 I'm inclined not to dispense with the fine and 22 just to reduce it to a judgment against Backertop and 23 Ms. LaPray.

And then to the extent that she doesn't pay it, to refer the matter to the Department of Justice, and they

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typically, are responsible for enforcing such judgments.

But maybe things will come out in the testimony that would cause me to rethink that, and so we could explore that. So I will refrain from ruling, but I think it's important here, that is, two things.

First, none of these lawsuits could have been brought without Ms. LaPray. Ms. LaPray has, in concert with others, gone to great extremes to avoid disclosing matters. Second, it couldn't have been cheap to litigate.

As I pointed out in one of my memorandum orders, if you just did the transaction filings alone, Backertop would have had to spend more than \$35,000 just to bring the suits that I've identified.

So to think that you could afford to bring those suits and expend that cost, and at least when Ms. LaPray testified last time she indicated she'd actually made some money from these matters. It's hard to believe she can't afford the fine. And she made a conscious decision, you know, to refuse to appear.

So I'm hesitant. I think it sends a bad message, you know, that you can just flout Court orders, cause great expense by the Court and unnecessary expense and then to do so without impunity. So I will hold in abeyance ruling on that.

MR. FINGER: One other part of that motion, if

Your Honor stays with that approach, we ask that the Court 1 2 allow Ms. LaPray to engage in a payment plan rather than 3 a --THE COURT: See, that would be worked out with 4 5 the Department of Justice. 6 MR. FINGER: All right. 7 THE COURT: When a judgment against the United States is entered, at that point, the enforcement of the 8 9 judgment and the collection of the judgment is 10 administered by the executive branch, in particular by the Department of Justice. They have a financial litigation 11 12 unit that handles that. 13 MR. FINGER: This is the last inquiry on a different topic. 14 15 Will the Court allow me to, once Your Honor is finished questioning, to ask a few questions of my own? 16 17 THE COURT: Sure. 18 MR. FINGER: Thank you, Your Honor. 19 THE COURT: All right. 20 All right. So, Ms. LaPray, take the stand. 21 THE CLERK: Please state and spell your name 22 for the record. 23 THE WITNESS: It's Lori LaPray, L-O-R-I, 24 L-A-P-R-A-Y. 25 LORI LAPRAY, having been called as a witness, being

first duly sworn under oath or affirmed, testified as 1 follows: 2 3 THE CLERK: Thank you. Please be seated. 4 EXAMINATION 5 BY THE COURT: 6 Good morning. Q. 7 Good morning. Α. Ms. LaPray to start, are you aware that there were 8 9 orders issued by the Court requiring Backertop to produce 10 documents? 11 Α. Yes, I do vaguely remember that. Yes. 12 Do you recall how you became aware of those orders? Q. 13 Mavexar sent the orders to me. Α. 14 And when you say "Mavexar," was there somebody in Q. 15 particular, somebody acting on behalf of Mavexar? 16 Usually, the person that I would communicate most is 17 Linh Dietz or Papool is who I would communicate the most 18 with. 19 Were you provided a copy of the court orders? Q. 20 A. Yes. They would e-mail them to me. 21 Q. We don't see any e-mails that were produced by 22 Backertop or by Backertop's lawyers that show that any 23 court order was transmitted to you, received by you, or 24 that you produced any documents. Can you explain that? 25 I received the orders, and I knew about them. And it Α.

- was my understanding that Mavexar produced the documents
 for Backertop, and I believe Jimmy Chong produced them to
 you.
 - Q. Well, when you received those e-mails, what e-mail address is Mavexar sending you these materials?
 - A. It was Linh Dietz's e-mail. I don't know her exact e-mail address.
 - Q. What e-mail address was she sending them to you?
 - A. It was my personal e-mail address.
 - Q. What is that?

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- A. It's lorilapray@gmail.com, is my personal.
- Q. All right. Did you search your Gmail account to
 determine whether you had any documents that were called
 for production by court orders?
 - A. I didn't search my e-mails.
- Q. All right. Did anybody ask you to search your
 e-mails or look for any hard copies of documents that you
 had that were covered by the court orders?
 - A. No, sir. The only thing I was asked to look for were text messages, but I didn't have any of those.
 - Q. Okay. So were you never asked to look through your own files, either electronic files or hard copy files, to determine if you had any documents that were covered by the court orders; is that fair?
- 25 **A.** I really don't remember. Everything that's happened

- in the last year, this case has not been on my mind.
- 2 Q. All right.

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- A. So I apologize if I don't remember.
- 4 Q. So you don't remember ever being asked to do that?
 - A. I don't -- I just don't remember.
 - Q. Did you ever search your home or your office or your computer to see if you had any documents that were covered by the Court's orders?
 - A. I don't remember if I did or not. I don't think I did, but I don't remember.
 - Q. All right. Did you ever talk with Mr. Burns about what you needed to do to make sure Backertop complied with the document production orders?
 - A. No. It was my understanding that Mavexar and the attorneys were handling all the document production was what I understood.
 - Q. And I think some of this is repetitive of the last time you were here, which was, you know, a while ago.
 - A. Yes, sir.
- Q. But it's fair to say that Backertop had filed and
 Backertop had settled numerous lawsuits before you ever
 spoke with Mr. Burns; is that right?
 - A. That would be correct, Your Honor.
- Q. And the same thing with Jimmy Chong, Backertop had filed and Backertop had settled numerous lawsuits,

- including lawsuits in this court, before you ever had any 1 kind of communications with either Mr. Burns or Mr. Chong, 2
- 3 correct?
- 4 Α. Correct, yes.
- 5 Did anybody ever explain to you the risks that are Q. 6 associated with filing patent infringement lawsuits before 7

Backertop filed any of these lawsuits?

- No, sir. No. 8 Α.
- 9 Now, I know you filled out an affidavit at some point Q. 10 that said Backertop did not have any bank accounts; is 11 that right?
- 12 Α. Correct.

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- Does Backertop have any bank accounts today? Q.
- 14 No, sir. Α.
- 15 Do you have any books or records for Backertop in Q. 16 your custody or your possession back in Texas?
- 17 Α. I mean, in e-mails but not physical files. No, sir.
- 18 All right. Do you have any accounting records for Q. 19 Backertop?
 - Α. No, sir.
- 21 Q. Have you ever filed taxes for Backertop?
- 22 Α. I don't really know.
- 23 That's all right. Q.
- 24 Α. I'm sorry.
- 25 Well, actually, do you recall ever signing a tax form Q.

- 1 for Backertop?
- 2 **A.** I have sent my CPA stuff that has to do with the income that I got from Backertop.
- 4 Q. What do you mean by "CPA stuff"?
- A. Well, just the tax return, the documents, the form that Linh would send me for tax returns. I don't know exactly what they are called.
 - Q. So did Linh send you tax forms for Backertop, is that your understanding?
- 10 A. I think it was just for income, but I filed,
 11 Backertop did not. I didn't file on Backertop's, no.
- 12 **Q.** I'm sorry. I'm just --
- 13 A. I did not file any tax returns for Backertop. Sorry,
 14 to be clear.
- Q. Do you know whether Backertop has ever filed taxes, then?
- 17 **A.** No.

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- Q. I mean, you are still the sole natural person who owns and manages and is employed by Backertop; is that right?
 - A. Yes.

- 22 **Q.** So if anybody filed taxes for Backertop, presumably it would be you?
- 24 A. I don't believe I have. Sorry.
- 25 Q. That's okay.

Do you know how much money Backertop has made since it was formed?

- A. I don't. I've never added up the numbers. I don't.
- Q. And the last time, and I might be wrong on this; you should correct me if you think I am.

I seem to recall something in the neighborhood of couple of thousand dollars you thought you had made, or Backertop had made, at that point. Is that accurate to your recollection?

- A. I mean, probably over ten. Probably. I don't know the exact number, and I don't want to lie to you.
- Q. That's all right.

- A. So I don't know the exact number, but it's probably over ten.
 - Q. All right. When is the last time you recall making any money through Backertop?
 - A. I don't recall that. I have to go through my bank statements and look. I don't know when the last time I got a settlement from them.
 - Q. And when you say, your "bank statements," that's your personal?
 - A. My personal, yes. My personal, yes.
- Q. Now, I want to kind of go back again just to understand that.
- Do you recall anyone telling you that you might have

- to pay any fees or expenses or attorney fees or costs associated with litigating cases in Backertop's name?
 - A. I knew that, yeah, there was a risk of paying that, potentially, if the case didn't settle. That was my understanding.
 - Q. All right. How did you come to form that understanding?
 - A. It was in the engagement letter, I believe.
 - Q. The engagement letter with whom?
 - A. With Mavexar, between Backertop and Mavexar.
- **Q.** All right. Did you ever discuss that risk or potential with anyone from Mavexar?
 - A. No.

- Q. Now, I'm going to hand you what we'll mark as Exhibit 1.
- And while it's being marked, let me just tell you what it is. It's a patent assignment cover sheet and documents that were taken...that were filed with the United States Patent and Trademark Office and that we obtained from the Patent and Trademark Office. So this is three pages. Do you see that, right?
- A. Yes, sir.
- **Q.** First of all, do you recognize this document?
- A. I don't. I mean, I could have seen it. I just don't remember.

- Q. All right. And then you'll see the first page that says...it identifies as the receiving party data Backertop
- 3 Licensing LLC. Do you see that?
- 4 A. Yes, sir.
- Q. And it identifies as the conveying party data Terrace
 Licensing LLC. Do you see that?
- 7 **A.** Yes.
- Q. And then, as far as the property numbers in this assignment cover sheet, it lists five patents. Do you see that?
- 11 **A.** Yes.
- Q. And four of those patents were asserted in this litigation, in these cases. Do you agree?
- 14 **A.** Yes, sir.
- Q. Now, then it says at the bottom of the sheet the name of the submitter, and it says Lori LaPray. Do you see that?
 - A. Yes, sir.

- Q. And it has a signature for Lori LaPray. Do you see that?
- 21 **A.** Yes, sir.
- 22 **Q.** Did you sign this?
- A. I don't know. I know that I had signed the third page, but I had either probably just given Linh permission to sign it. I don't remember.

Q. We did not see in the production that Backertop made an e-mail sending you or receiving from you a patent assignment cover sheet.

Do you recall whether you were ever sent a patent assignment cover sheet for the patents that were asserted in this case that was filed with the PTO?

- A. I truly don't recall. I don't remember. I mean, I'm happy to produce e-mails to you if you would like me to.
- Q. Well, they were covered by the order. You were required to produce e-mails.
- A. It was my understanding that it was just duplicative basically, so I didn't know that you wanted a duplicative copy, but I'm happy to do it.
- Q. All right. Well, do you recall signing or being asked to sign a patent assignment cover sheet to be filed with the Patent and Trademark Office?
- A. I don't ever remember signing one of these.
- Q. Okay.

- A. To my recollection, I don't remember ever signing one.
 - Q. Now, the e-mail that is identified for the corresponder...the correspondent, rather, who is submitting this with the PTO is lindhd@IPedge.com.
 - Do you recognize that e-mail address?
- A. Yes, sir, I do.

Q. And who is that for?

sheet. Do you see that?

- A. That's Linh Dietz, yes.
- Q. Now, the patent assignment cover sheet said it was filed, and it was filed with the PTO on May 2, 2022. And it has two attachments that were filed with the cover
- A. Yes, sir.

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- Q. All right. And they're both labeled Exhibit A, and they constitute two pages; is that right?
- 10 **A.** Yes, sir.
- Q. And let's turn and look at those. First of all, fair to say this is a two-page document and it's titled "Patent Assignment"; is that right?
- 14 A. That's what I see.
 - Q. And it has at the top the words "Exhibit A" in the left-hand corner of both pages, correct?
 - A. Correct.
 - Q. On the second page, it has two signatures. The second signature is under the assignee, which is identified as Backertop Licensing LLC.
 - Is that your signature?
- 22 **A.** Yes.
 - Q. Now, is that an electronic signature or is it your signature that you handwrote or is it your signature that you handwrote that became an electronic signature?

1 **A.** Yes.

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- 2 **Q.** What is it actually?
- 3 **A.** It was the one that I wrote that became the electronic signature that I have on my computer.
- Q. I see. Do you recall personally putting your signature on this document?
 - A. I believe on these, I would put my signature on these documents. Nobody else would. And then I would send them to Linh, from what I remember.
 - Q. All right. And this document under your name identifies your title as the managing member. That's true, you're the managing member, right?
- 13 A. Correct, correct.
- 14 Q. And it's dated April 23, 2022; is that right?
- 15 A. Correct, correct.
- Q. All right. Now, the assignor is identified as
 Terrace Licensing LLC. Do you see that?
- 18 **A.** Yes, sir, I do.
- Q. And it's signed by somebody apparently named Duane Dorsey. Do you see that?
- 21 **A.** Uh-huh.
- 22 **Q.** Do you know Duane Dorsey?
- 23 **A.** No, sir, I do not.
- Q. Never have? You ever had conversations with somebody who told you they were Duane Dorsey?

- A. No, sir.
- 2 Q. Do you know anything about Terrace Licensing LLC?
- **A.** No.

- Q. Has anybody ever talked with you about Terrace Licensing LLC?
 - A. Not that I can remember. No, sir.
 - Q. Now, this patent assignment that was signed with... that was filed, rather, with the PTO, let's go through what it says.
 - First of all, it's for five patents, four of which were asserted in the cases filed in this court by Backertop, correct?
 - A. Okay. Correct.
 - Q. And at the top of the patent assignment, it says that "for good and valuable consideration the receipt of which is hereby acknowledged Terrace Licensing LLC." I won't go through the addresses, but it says "hereby assigns, transfers and conveys to Backertop all right, title and interest that exists today and may exist in the future to the patents." Is that fair?
 - A. That's what the agreement says. Yes, sir.
- Q. Was that your understanding of what this agreement memorialized?
 - A. I suppose so, yeah.
- **Q.** Did you have an understanding that Backertop, at the

- time it filed these lawsuits, had all right, title and interest that exists at the time and that may exist in the future in the asserted patents?
 - A. Yes, I knew they owned the patents. Yes, sir.
 - Q. And you thought...did you think they were the only owner of the patents? It says that Backertop has "all right, title and interest." Was that your understanding of what Backertop had?
 - A. It was my understanding. Yes, sir.
 - Q. All right. And then subparagraph A here, it lists the asserted patents, correct?
- 12 **A.** Uh-huh.

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- Q. Subparagraph C defines, in part, what all right, title and interest in the patents are, correct?
- 15 **A.** Uh-huh.
- 16 Q. You have to answer yes or no.
- 17 A. Yes. I'm sorry.
 - Q. And it says, it includes in subparagraph C "the exclusive right to prosecute applications before the USPTO and foreign Patent Offices"; is that right?
 - A. Yes, sir.
- 22 The agreement says that.
- 23 **Q.** And in subparagraph E it says that Backertop has "all rights to recover and collect on all future settlement agreements and enforceable rights to collect license

- payments, royalties and other payments due or payable on or after the effective date of this assignment"; is that right?
 - A. Correct.

- Q. Was that your understanding?
 - A. As far as I knew, yes, sir. It was my understanding.
 - Q. All right. And then Paragraph F says that these rights that are being assigned include "the exclusive right to practice the patents, including without limitation the right to make, have made, use, sell or offer to sell and import products and service under the patents"; is that right?
 - A. Correct.
 - Q. Paragraph G says that Terrace assigned to Backertop
 "the exclusive right to license and sublicense the
 patents"; is that right?
 - A. Correct.
 - Q. And Paragraph H says that the rights include, that were assigned to Backertop, "the exclusive rights to assign, sell and alienate the patents"; is that right?
 - A. Correct.
- **Q.** And then finally, Paragraph I provides that the
 23 rights being transferred to Backertop from Terrace consist
 24 of "any and all other rights to the patents"; is that
 25 right?

A. Correct, it's what it says.

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- Q. And this was filed with the PTO; is that right?
- A. Yes, sir. It looks like it, yes, sir.
- Q. Now, did you look at the documents that were produced in the name of Backertop to the Court?
- A. I don't believe I did.

 No, sir.
 - Q. Well, let me show you at least one subset of that document. Can you get the 447? And we'll mark this as Exhibit 2. If I didn't say it, we should have marked the first as Exhibit 1. Thanks.

12 (Exhibits 1 and 2 were marked for identification.)

BY THE COURT:

- Q. So the document you've just been handed is Bates stamped Backertop 447 through 458; is that right?
- A. Yes. Yes, sir.
- Q. And then the first page appears to be basically a copy of the patent assignment cover sheet that we just discussed; is that right?
 - A. It looks like it. Yes, sir.
- Q. It looks like somebody printed this out at 10:50 a.m. on May 2 of 2022; is that right?
- 23 A. Correct.
- Q. And so it's a little bit different format though, right?

- I mean the size of the lines and the boxes look different, but the content looks the same?
- A. Yeah, the content is the same. Yes, sir.
- Q. And then the next page is just the bottom of the cover sheet, right?
 - A. Right.

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- Q. And then the next page, which is Backertop 449, now it appears to be identical to the patent assignment cover sheet that we just discussed with the exception it doesn't have the reel and the frame number on the bottom right corner; is that right?
- A. It looks that way to me, yes.
- Q. Yep. All right. And then the next page, the next two pages, appear to be a document from the United States

 Patent and Trademark Office dated May 3rd addressed to

 Backertop; is that right?
 - A. Correct.
 - Q. And it basically looks to be a notice of recordation of assignment document; is that right?
 - A. That's what it looks like. Yes, sir.
 - Q. Had you seen this before today?
- 22 **A.** No.
- Q. Now, attached, the next page, after that, and so starting at Backertop 452. Do you see that?
- 25 A. Okay. I'm with you.

- Q. And it's an agreement, and it's titled Patent
 Assignment Agreement. Do you see that?
 - A. Yes, sir.

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- Q. And this too appears to be an assignment agreement between Terrace Licensing and Backertop; is that right?
 - A. Yes, sir.
 - Q. And if you look down about halfway down the first page, it has a definition of the patents and related patents. Is it fair to say that this document too appears to be an assignment for five patents, the same five patents that were in the cover sheet that we discussed earlier?
 - A. Yes, sir. It looks that way.
 - Q. All right. Now, if you look at Paragraph 4 of this agreement...actually, let's do something else. Let's, first of all, let's look at the date of this agreement.

Go to Page 455. This is at the bottom, right.

That's the signature of Duane Dorsey, again, as the assignor of Terrace Licensing; is that right?

- A. Yes, sir.
- Q. And on the next page, which is the last page of this agreement, is that your signature again?
- A. Yes, sir.
- Q. And it says your date is 4/23, which is the same date that you identified for your signature in the Exhibit A

- 1 that was filed with the PTO?
 - A. That's correct.
- 3 **Q.** Is that right?
- 4 **A.** Yes, sir.

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- **Q.** All right. Is that your signature here?
- A. Yes, on Exhibit 2, yes, sir. Yes, sir.
- Q. Do you recall signing this Patent Assignment
- 8 Agreement?
- 9 A. Yes, I mean I signed it. I mean, can I tell you what
 10 date and time, no.
- 11 Q. All right. Now, if you look at this document --
- 12 **A.** Which page are you on, Your Honor?
- 13 Q. Well, let's back up to Page 453.
- 14 **A.** Okay.
- 15 Q. And, actually, let's do this. Let's back up to
- 16 Page 452. If you look at the paragraph that's labeled
- 17 Number 2. Do you see that?
- 18 **A.** Yes, sir.
- 19 Q. So it says in that paragraph, "Assignor," so that
- 20 would be Terrace, "hereby assigns to assignee," that would
- 21 be Backertop, "all right, title, and interest to the
- 22 patents and related patents. Assignor and assignee hereby
- agree to execute the form of Patent Assignment Agreement
- 24 attached as Exhibit A."
- Do you see that?

- 1 **A.** Yes, sir.
- Q. And then if you go to the last two pages of this document, beginning at Page 457, there's a document that's labeled Exhibit A in the upper left-hand corner, right?
 - A. I see that, yes.
- Q. And it says it's a patent assignment.

 Do you see that?
 - A. Correct.
 - Q. And it's two pages, right?
- 10 **A.** Correct.

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- Q. And this patent assignment is identical to the document that you and I just walked through that was filed with the PTO, correct?
 - A. Correct.
 - Q. So this document, as we already, I think, discussed, but I would like to let you let me know if you disagree, this patent assignment of two pages, says that all rights of any kind associated with the patents were transferred from Terrace to Backertop, correct?
 - A. From my understanding, yes.
- 21 **Q.** And that's what was filed with the PTO, correct?
- 22 **A.** Uh-huh.
- 23 **Q.** Is that right?
- 24 A. From what I'm seeing, it was.
- 25 **Q.** So then, if you can look now to Page 453, Paragraph 4

1 of the patent assignment agreement. 2 Do you see that? 3 Yes, sir. Α. Q. So it's got this paragraph labeled "Consideration." 4 5 Do you see that? 6 Α. Yes. 7 And it says that "assignee," and that would be Q. Backertop, right? 8 9 Correct. Α. 10 So Backertop "hereby assumes all of the obligations Q. 11 of the Patent Assignment Agreement made and entered into 12 on November 29, 2001, by and between Daedalus Blue LLC and 13 Terrace Licensing LLC." 14 Do you see that? 15 Α. Yes. 16 Do you recall or do you have any knowledge of the Q. 17 agreement, dated November 29, 2001, by and between 18 Daedalus Blue LLC and Terrace Licensing LLC? 19 I don't, I don't have any recollection or --Α. 20 Q. Do you remember the last hearing that Mr. Burns 21 introduced a couple of exhibits. 22 Do you remember that? No? 23 To be honest, I don't, Your Honor. Sorry. Α. 24 Q. That's all right. We'll get to that then. 25 You see that the next sentence says that "The

- assignee," and that would be Backertop, right?
 - A. Correct.

- Q. So Backertop "agrees in writing to be bound and agrees to require all subsequent successor in interest to be bound by the terms and conditions applicable to assignor," that's Terrace, right?
- A. Uh-huh.
- Q. "With respect to the patents and related patents."

 Do you see that?
- A. Yes, sir.
 - Q. And then the next sentence says that, "The assignee, Backertop, also understands and acknowledges that the assignor, Terrace, or prior owners may have granted licenses, covenants not to sue, releases, and other encumbrances with respect to these patents."

Do you see that?

- A. Yes, sir, I do.
- Q. And then the next sentence, it says that, "The assignee," which is Backertop, "expressly agrees to be bound by and take the patents subject to all such encumbrances."

Do you see that?

- A. Yes, sir.
- Q. So fair to say, then, that this Patent Assignment
 Agreement does not convey all rights from Terrace to

- Backertop because Backertop is actually having to take on and assume all the obligations and encumbrances that exist by virtue of the agreement between Daedalus Blue and Terrace; is that right?
 - A. From how I'm reading, yes. You are correct.
 - Q. So, in other words, according to this paragraph,
 Backertop does not have all the exclusive rights
 associated with the patents; is that right?

MR. FINGER: Objection, Your Honor.

THE COURT: All right. Well, you made your objection. Thank you.

BY THE COURT:

- Q. Fair to say?
- A. Yes, sir. I guess so.
- Q. Now, let's look at the Daedalus agreement, which we discussed at the last hearing.
 - MR. FINGER: Your Honor. I just wanted to avoid inconveniencing the Court. Your -- the Court is handing me documents. I don't want to trouble him, but I want to ask permission to approach whenever Your Honor does that.

THE COURT: Sure. Feel free to come up. (Exhibit 3 is marked for identification.)

BY THE COURT:

Q. All right. So now we've handed you what we'll mark

as Exhibit 3. And if look at the bottom left, it was already, somebody handwrote Exhibit 3, 11/10/2022.

Do you see that?

A. Yes.

Q. And this was a document, I'll tell you, Mr. Burns introduced the last time you were here, you and I discussed. But I just want to go over some of these terms real quick.

If you look at this document, this is the patent purchase agreement between Daedalus and Terrace Licensing; is that right?

- A. Yes.
- Q. And if you look at Page 12, it's dated November 29, 2001, correct?
- **A.** Yes.
 - Q. And this appears to be the document that is incorporated and was discussed in the Patent Assignment Agreement we just talked about, correct?
 - A. Correct. Correct.
 - Q. And, if you recall, if you want to look at

 Paragraph 2 of this agreement, and it provides that the

 seller, and the seller in this case is Daedalus, correct?
 - A. Correct.
- Q. So Daedalus "shall be entitled to 49 percent share of the net proceeds in perpetuity that are obtained from

- licensing and asserting infringement of the patents,"
 correct?
 - A. That's what the agreement says. Yes, sir.
- Q. All right. And if you look at Paragraph 19, it's titled "Assignment"?
 - A. I'm with you.

- Q. And I'd like you to just take a look at the paragraph yourself. It's rather lengthy, but as I understand this paragraph, what it says is, among other things, is that it places limits on Terrace's ability to assign the patents; is that fair.
- A. That's fair.
 - Q. And so that, in turn, would place limits on the ability of Backertop to assign the patents; is that fair?
- A. That's fair.
- Q. Now, can you tell me why Backertop did not disclose to the PTO the Patent Assignment Agreement between Terrace and Backertop?
- 19 A. I can't. I don't know.
 - Q. Has anyone ever discussed with you why whoever filed the patent assignment cover sheet with the PTO chose not to put in to the PTO or bring to its attention and make public the Patent Assignment Agreement between Terrace and Backertop?
 - A. It was never discussed with me, Your Honor.

- Q. So do you know why someone decided to file with the
 PTO the Exhibit A, which represents that Backertop has all
 exclusive rights to the patents?
 - A. I don't know. I don't know, Your Honor.
 - Q. Have you ever filed a document with the PTO?
 - A. No.

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- Q. Do you recall ever being asked to sign any document that you were told would be filed with the PTO?
- A. I can't recall if I did or if I didn't, Your Honor.

 I don't remember.
 - Q. Do you know that any document that's filed with the PTO is done so under law with the understanding that it's against the PTO regulations and the United States Criminal Code to file a false statement with an agency of the United States?
 - A. Yes. As with any government entity, yes, sir.
- 17 **Q.** You would assume that?
- 18 **A.** Yes, yes.
- Q. Did anybody ever tell you that in connection with your role as the sole owner and managing member of Backertop?
- A. No one told me that, but, I mean, it's understood, I quess.
- Q. All right. So the next thing I want to do is I want to look at the retention letter that was produced to us

Fresh IP PLC and Backertop.

So this is Bates-stamped Backertop 1 through 12. We'll mark it as Exhibit 4.

(Exhibit 4 is marked for identification.)

BY THE COURT:

- Q. Do you recognize this document?
- A. Yes, sir. I do from last time.
- Q. Okay. And I don't want to go over it in a lot of detail, but I just want to make sure, for starters, on the last page, Page 11, is that your signature?
- A. Yes, sir.
- Q. All right. The date of the letter, if you look at the first paragraph, it says, that "This letter sets forth the agreement made this 27th day of April 2002."

Do you know whether or not you executed this on or about April 27, 2002?

- A. I couldn't tell you for certain. I probably did. I can't tell you for certain if I did or not.
- Q. All right. And as we've already established, you didn't search your e-mails to produce any documents?
- A. I wasn't aware I needed to search my personal e-mails, but like I said, I'm happy to produce any documents that you need. I didn't realize you needed a duplicative copy. So...
- Q. All right. Now, based on your earlier testimony that

- you never spoke with Mr. Burns until after numerous cases had been filed and settled in Backertop's name --
- A. Correct.

- Q. -- I'm going to assume, but let me ask, did you ever speak to Mr. Burns before you signed this engagement letter?
- A. No.
- Q. Did you ever speak with anybody from Fresh IP, PLC, the law firm of Mr. Burns, before you signed this engagement letter?
- A. No.
- Q. Now, the engagement letter defines Backertop as the client; is that right?
- A. Correct.
- Q. In Paragraph 4 of the engagement letter, if you can turn to that, the last two sentences, do you see that?

It says, "Client shall have the sole and exclusive right to approve, accept, and enter into any licensing agreement or lawsuit settlement. The parties also"...and "the parties" being the client and the law firm, right? "The parties also shall provide each other with reasonable notice of any offer made by any infringer in connection with any lawsuit in which firm is involved."

Do you see that?

A. Yes.

- Q. Did you ever discuss with Mr. Burns at any time the fact that he had filed and settled lawsuits on Backertop's behalf without ever having had any communications with you?
 - A. No. There was no communication between me and Mr. Burns.
 - Q. How about the after the hearing in November of 2022? At that point, did you ever have any discussions with Mr. Burns along the lines of whether or not there should have been communications between the two of you before he filed and settled lawsuits on behalf of Backertop?
 - A. No. There was no discussions of that nature. No, sir.
 - Q. When did you last speak with Mr. Burns?
 - A. I don't know, Your Honor. I don't know when the last time there were e-mail exchanges.
 - Q. So then can we turn to what's labeled Backertop 10, which is Page 10 of the engagement letter. And there's a Paragraph 27.
 - Do you see that?
- A. Yes, I do.
- **Q.** And it's actually in capital letters; is that right?
- **A.** Yes.

Q. And it says, quote, "Client acknowledges that it was advised to retain independent legal counsel to represent

client in connection with the negotiation and execution of this agreement and with respect to the arbitration clause above."

Do you see that?

A. Yes.

- Q. I take it from your prior testimony, but I just want to make sure I'm clear, the truth is, right, you were never advised to retain independent legal counsel to represent Backertop in connection with the negotiation of this agreement with Fresh IP; is that right?
- **A.** No. I gave Mavexar the authority to hire attorneys. So...
 - Q. And so you never had any -- so you told Mavexar it could represent you and hire attorneys; is that what you are saying?
 - A. Well, hire attorneys to represent Backertop.
 - Q. Right.

So were you ever asked by any attorney to consent to Mavexar working on your behalf with the attorney?

- A. No.
- Q. And so, therefore, it's fair that you never acknowledged, or rather, you were never advised by Mr. Burns or anyone associated with Fresh IP to retain independent legal counsel to represent Backertop in connection with the negotiation of this agreement; is that

right?

- A. Not that I can recall. No, Your Honor.
- Q. Then Paragraph 27(b) of this engagement letter says that "Client acknowledges that prior to signing this agreement, client was given the option of retaining the firm, Fresh IP, to handle the lawsuit on the basis of a normal hourly rate."

Is that true, since you just said you've never spoken with Mr. Burns or Fresh IP prior to signing this?

Did anybody ever advise you that you had the option of retaining Mr. Burns or Fresh IP to handle the lawsuits on behalf of Backertop on an hourly basis?

- A. I read the agreement, but it wasn't discussed verbally.
- **Q.** And were you ever advised that submitting to binding arbitration typically results in the waiver of significant rights?
- A. I wasn't aware. No, sir.
- Q. And then, but if you look at Paragraph 27(c) of the engagement letter that Mr. Burns and you signed, it says that "The client acknowledges that it has been advised that submission to binding arbitration typically results in the waiver of significant rights"; is that right?
- A. That's what it says. Yes, sir.
- Q. Are you aware that Mr. Burns still is counsel of

record for you, for Backertop?

- A. Yes. I don't believe I've seen a withdrawal since the last hearing.
- Q. When did you last speak with Papool Chaudhari?
- A. I don't remember.

Your Honor, if I may say one thing. So after everything happened this year, my husband passed away.

Mavexar and all the attorneys were just very respectful of my privacy and my time. So there wasn't a lot of communication going on between us during that time.

So they would send me stuff that was pertinent, that was important, but other than that we didn't speak.

So Papool, the last time I spoke with him was probably a couple of days ago. But we've been in communications for the past couple weeks.

- Q. All right. And what was the nature of those communications?
- A. They just had sent me the order to appear in front of you, and then just travel arrangements, things like that was the nature.
- Q. So did somebody from Mavexar or IP Edge make your travel arrangements for this today?
- A. Yes. The travel was quite expensive and something I couldn't afford, so Mavexar purchased the plane tickets for me.

- Q. All right. When's the last time Backertop filed a lawsuit to your knowledge?
 - A. I don't know. To my knowledge, I don't know.
 - Q. More than a year?
 - A. Possibly.
- Q. Have you been asked this year by anyone to have
 Backertop be the named plaintiff in a lawsuit?
 - A. No.

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- Q. Does Backertop own today anything other than the five patents?
- 11 **A.** Not that I'm aware of; just the five. I don't believe it owns anything else.
 - Q. Have you formed any LLCs or, actually, let me state it this way: Have any LLCs been formed in your name or using your name in the last year?
 - A. No.
 - Q. Now, Mr. Finger mentioned that the \$53,000 fine is something you cannot afford?
- A. No, sir. I'm now a single mom with two kids on my
 own on a single income, so that's just not feasible for
 me.
 - Q. Well, did anyone advise you not to come in person to the hearing?
- 24 A. No. There was just a lot of -- I didn't want to put it on paper, but there were just a lot of personal things

- that I was going through with Brandon at the time that I didn't want put in writing, and so it wasn't a good time for me to come, and then he passed away in August of '23.
 - Q. Now, have you made a demand on Mavexar or a request for Mavexar or IP Edge or Papool or any of --
 - A. We've spoken on the phone about it, but other than that, that's all that's been done is just conversations, just phone conversations.
 - Q. Well, have you asked them to pay for the fine?
 - A. I have asked, and the last conversation that I had with Gau was they were going to request that the Court reduce it or remove it, and then if that didn't happen, then "cross that bridge when we come to it" were his words.
- Q. And this is Gau who?

- A. I couldn't even pronounce his last name, but I always call him Gau because that's what Brandon called him, so I always called him Gau, but I believe he is one of the owners of Mavexar.
- Q. All right. Is he a lawyer?
- A. I don't know. I don't know. I don't know much about -- I didn't ask much about Brandon's, who he worked for.
- Q. All right. What percentage of the proceeds obtained from any filing of lawsuits in Backertop's name go to

1 Backertop?

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- A. I believe it's 5 percent, if I'm not mistaken. I believe it's 5 percent.
 - Q. Why is the percentage not put in the written agreement between Backertop and Mavexar?
 - A. I don't know. I couldn't tell you. I don't know.
 - Q. The Mavexar agreement provides, quote, "Each party shall bear its own costs and expenses relating to this engagement, including travel." It goes on.

The fact is, though, that Mavexar did pay for your travel for the November 2022 hearing; is that right?

- A. Correct. Yes, sir.
- Q. And it's paying for your travel today?
- A. Correct, they did.
- 15 **Q.** When did you last speak with Jimmy Chong?
- A. It possibly was a month; three weeks, a month, probably, ago that I spoke with Jimmy.
 - **Q.** What generally about?
 - A. Just about the order to appear. That was the general conversation.
- Q. Okay. Did you ever have any discussions with

 Mr. Chong about your responsibilities personally to search

 for documents that were covered by the Court's orders to

 produce documents?
 - A. I don't think I had a conversation with Jimmy about

1 that. 2 Okay. I am a going to show you what I'll mark for Q. 3 identification as Exhibit 5, all right? (Exhibit Number 5 is marked for identification.) 4 5 BY THE COURT: So these are documents that Backertop produced to the 6 Q. 7 Court, Bates-stamped Backertop 0149 through 0169. Do you see that? 8 9 Yes, sir. Α. 10 Now, if you look at, most of this document is a Q. 11 letter from the law firm Fish & Richardson to Mr. Burns, 12 correct? 13 Α. Yes. 14 And it's the headline or the subject matter line Q. 15 says, "Request for attorney's fees and costs." 16 Do you see that? 17 Α. Yes, yes. 18 And the letter is dated October 13, 2022. Q. 19 Do you see that? 20 Α. Yes. 21 Q. Have you ever seen this letter before? 22 Α. No. 23 Did you ever discuss with Mr. Burns or anybody from Q. 24 Fresh IP the fact that August Home, through its lawyers,

had made a demand for attorney fees and costs?

A. No, sir.

(Exhibit 6 is marked for identification.)

BY THE COURT:

Q. I'm going to show you Exhibit 6, which is Backertop
115 through 116.

Do you see that?

- A. Yes.
- Q. So let's start. These are the bunch of e-mails.
 They start on Page 116. This is an e-mail from a lawyer at Fish & Richardson to Mr. Burns, dated October 26, 2002.
 Do you see that?
- A. Yes.
 - Q. And the Fish & Richardson lawyer, I guess, goes by the first name Riqui, R-I-Q-U-I. And he says, "Hi, Ron. I'm checking in to see if you have updates from your client's end. August Home is prepared to file its declaratory judgment complaint unless the parties have an agreement in place prior to Wednesday, November 2. August Home seeks what it requested in its letter (full peace and reimbursement of attorney fees). Short of that, it may be willing to accept a broad license or covenant not to sue that includes Backertop and its principals. If neither is palatable to your clients, August Home will proceed to file its DJ action in Delaware next Wednesday."

Do you see that?

- A. Yes.
- Q. Did Mr. Burns ever discuss this with you?
- **A.** No.

- **Q.** Did anybody ever discuss this with you?
- A. Not that I'm aware of, no.
 - Q. You will see the e-mail chain continues, and there's an e-mail between Papool Chaudhari and Mr. Burns the next day where Mr. Chaudhari says to Mr. Burns, "Backertop is not agreeable to paying August Home's attorney fees, but Backertop is willing to get a broad CNS that would include Backertop and its principals," in parentheses, "(Lori is the only owner so it would extend to any company Lori owns.)" And it says, "Attached is a draft CNS."

 Do you know what a CNS is?
 - A. No.
- Q. I think it's covenant not to sue. Does that make sense?
 - A. Okay, that makes sense. That makes sense.
 - Q. "Attached is a draft covenant not to sue that gives that broad coverage. Please send them and let them know Backertop is ready to execute this now and wants to get this done before the deadline."
 - So Mr. Chaudhari made that direction without specifically getting your sign-off, correct?
- 25 A. Correct.

And then six days later, if you look at the top 1 Q. 2 email, Mr. Chaudhari, again, e-mails Mr. Burns and he 3 says, "Where are we on this? Per OC" Do you know what OC means? 4 5 No, sir. Α. "We need to get this done today or else they are 6 Q. 7 filing a DJ tomorrow. I saw you sent the CNS to them last week, but wasn't sure of current status. Please advise 8 9 and bug Riqui until this gets done today to avoid the DJ." 10 Do you see that? 11 Α. Yes. 12 Now, at any time, did anybody consult with you about 13 this demand for attorney fees and costs? 14 Α. No. 15 Although that e-mail chain refers to an attachment, Q. 16 right? 17 Uh-huh. Α. 18 There was no attachment provided in the document Q. 19 production. But what we do have, and so let me show you. 20 I will mark as Exhibit 7 21 (Exhibit 7 is marked for identification.) 22

BY THE COURT:

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Backertop Page 16 through 33. This is one of the few e-mails we have going to you.

Now, this e-mail is dated many months later it's

- 1 April 10, 2023, correct?
 - A. Yes.

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- 3 Q. And it's sent to you by Linh Dietz; is that right?
- 4 A. Correct.
 - Q. And it's copying Papool Chaudhari, correct?
 - A. Yes.
 - Q. And then Danae Maher, correct?
- 8 **A.** Yes.
- 9 Q. So let's just talk about, how do you know Papool
- 10 Chaudhari?
- 11 **A.** I just know he works for Mavexar; we've just had phone conversations. That's how I know him.
- 14 **A.** No.

Q.

Q. Do you recall when you first met him?

Have you ever met him in person?

- 16 **A.** I can't recall when I first had a conversation with him over the phone or e-mail.
- 18 Q. And then Danae Maher, who is he or she?
- 19 A. I think it's a she. She started working at Mavexar, 20 it was when Brandon was still there. I don't remember. I
- 21 never met her; there was never, like, formal conversations
- 22 with me and her on there.
- 23 **Q.** I see.
- And, incidentally, you know, everybody here at the court, in my chambers expresses our condolences to you.

1 I'm sure it must be very difficult.

And mindful of that though, I was hoping you could at least tell me, how did Brandon become associated with Papool Chaudhari, if you know?

- A. I don't. I mean, Papool just started working at Mavexar, and so him and Papool worked together. That's how they knew each other. They weren't friends outside of work. It was strictly they met through work and that was it. And Mavexar hired Papool, and then him and Brandon worked together, and then that was...
- Q. Was Mavexar formed then -- well, who formed Mavexar?
- A. I have no idea.

- Q. And is it your understanding that Brandon worked for Mavexar before he met Papool?
 - A. Yes. Papool came on after Brandon was already there.
 - Q. Okay. Do you know who runs Mavexar?
- **A.** If I'm not mistaken, I think it's Gau, Sanjay, and Lillian are the three.
- **Q.** Have you met any of those three people?
 - A. No, I have not.
- **Q.** Never in person?
- **A.** Never in person, no.
- **Q.** Have you ever met Linh Dietz in person?
- **A.** Yes.
- **Q.** How?

- 1 A. I met her years ago one time. She had come into 2 town. And then I saw her at Brandon's funeral. She came.
 - Q. Now, who do you understand she works for?
 - A. I believe she works for IP Edge.
 - Q. What do you know about IP Edge? What is it?
 - A. I don't know anything about IP Edge.

has been reviewed and approved to finalize."

- Q. All right. Now, let's take a look at this CNS agreement. So Linh Dietz is asking you on April 10, 2023, "Hello, Lori. Please see attached a CNS agreement between Backertop Licensing and August Homes. Can you please sign the agreement and send it back to me as soon as possible? This is urgent and is needed back today. The agreement
- Do you see that?
- A. Yes.

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- Q. Now, when it says "The agreement has been reviewed and approved to finalize," what do you understand her to mean?
- A. I would think it would be reviewed by the attorneys and approved by them is what I get from it, get from the e-mail.
- Q. Attorneys for whom?
- A. For her and for Backertop and the other party.
- Q. And then the attached settlement agreement is 17 pages long, correct?

A. Yes.

- Q. And it's purporting to settle the 22-574 case in this court, correct?
 - A. Yes.
 - Q. Now, did you read the agreement before you signed it or did you sign it? This one's not signed. Did you ever sign this one?
 - A. This one's not signed. I couldn't tell you if I signed it or not on this one. I couldn't tell you if I signed it or if I didn't.
 - Q. And this is April of 2023, so this is after you testified in court and after these issues in this court about IP Edge and Mavexar have come to light, correct?
 - A. Yes. I believe I came in November of '22, I believe.
- Q. All right. Now, let's look at the first paragraph of the settlement agreement.

So it says that "This agreement is made and entered into as of the date of the signature."

Of course, it's not signed, but we know this is sometime in April of 2023 when the draft is sent to you, correct?

- A. Uh-huh. Yes, sorry.
- Q. If you notice in the first paragraph, Mavexar and IP Edge are identified; is that right?
- 25 A. Correct.

- Q. And the agreement provides that "Backertop, Mavexar
- and IP Edge are collectively referred herein as the
- 3 Backertop entities"; is that right?
 - A. Yes.

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- 5 Q. And the covenant not to sue that's being given here
- 6 covers not only Backertop, but it also extends to Mavexar
- 7 and IP Edge; is that right?
 - A. Yes.
 - Q. Why?
- 10 A. I couldn't tell you why. I don't know.
- 11 Q. Did you ever discuss that with anyone?
- 12 **A.** No.
- 13 **Q.** Do you know if you signed this agreement?
- 14 A. I don't know if I signed this particular agreement.
- 15 I don't know.
- 16 Q. Had you ever signed a settlement agreement that did
- 17 cover Mavexar and IP Edge?
- 18 A. I truly don't know. A lot of the times Brandon would
- 19 review them. He was my husband. I trusted him. He said
- 20 "Hey, they're good, sign them." I'd sign them. So I
- 21 don't know. I couldn't tell you.
- 22 **Q.** Does Backertop still own the five patents that you
- 23 testified it owned when you were here last time?
- 24 A. I don't know if they do. I don't remember if I
- 25 signed anything transferring them or not. This year has

kind of been a blur. I don't remember. 1 THE COURT: Just give me a minute. 2 3 All right. That's all the questions I have. Mr. Finger, do you want to ask any questions? 4 5 MR. FINGER: Yes. Thank you, Your Honor. THE COURT: Well, do you want Mr. Finger to ask 6 7 you questions? THE WITNESS: I'm okay. Thank you so much. 8 9 Are these just copies for me, Your Honor? 10 THE COURT: No, actually. 11 THE WITNESS: Okay. You would like them back. 12 Sorry, here you go. 13 Did you have questions for me? 14 MR. FINGER: Yes. 15 THE WITNESS: Oh, I'm sorry. 16 MR. FINGER: I will try to be brief. 17 THE WITNESS: I thought we were done. 18 EXAMINATION 19 BY MR. FINGER: 20 Q. Ms. LaPray, what do you do for a living? 21 Α. I'm a paralegal. 22 Q. How much do you make as a paralegal per year? 23 Α. Around 70,000. 24 And do you -- you're supporting two children, 25 correct?

A. Yes.

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- Q. How old are they?
- A. I have a son who is four and a daughter who is eight.
- Q. And do you have any idea at this time what your monthly living expenses are or in the range?
 - A. Probably about four to \$4500 a month.
 - Q. And do you have any other source of income?
 - A. My kids get Social Security. After Brandon passed away, they get Social Security, but that's strictly for them.
 - Q. I understand.

Do you have any estimate about how much money you have in the bank or other institution as of today?

- A. I have about probably 6,000 in the bank right now, and then I have some savings. I was in a car accident and I got a settlement from that, but that's just put into savings for a rainy day.
- Q. Okay. Can you --
- A. It's not. I have probably about 30,000 in savings.
- Q. Thank you.
 - How did you become the owner of Backertop LLC?
- A. Brandon had approached me with the opportunity, and Linh, from my understanding, handled everything as far as setting up the entities and managers and things like that.
 - Q. In obtaining the patents, did Backertop incur any

financial obligations? 1 2 I'm sorry. What was your question? Α. 3 Once Backertop received these patents, did it incur Q. any financial obligations regarding those patents? 4 5 Α. Not that I'm aware of. MR. FINGER: Your Honor, if I may approach, I 6 7 have a document I would like to ask her a couple of 8 questions about? 9 THE COURT: Sure. 10 MR. FINGER: I'm sure the Court has seen this 11 It's the consulting agreement. already. 12 THE COURT: What I'd like to do is let's mark 13 this as Exhibit 8. And yes, we have seen it, and we 14 discussed it with Ms. LaPray, I believe, in November of 15 2022, but you're happy to ask her more questions if she's 16 willing to take them. 17 MR. FINGER: Thank you. 18 Was I involved in November of '22? 19 THE COURT: I have no idea, Mr. Finger. 20 BY MR. FINGER: 21 Q. Do you have that document in front of you? 22 Α. I have it right here, yes. 23 Oh, we can switch. 24 MR. FINGER: I think the answer to that

question, Your Honor, is no, I was not involved yet.

1 THE COURT: I'm sorry?

MR. FINGER: I think the answer is no, I was not involved. I wasn't thinking '22 or '23.

BY MR. FINGER:

- Q. Ms. LaPray, turn to the last page, please.
- A. I'm here.
- Q. Is that your signature? Of the second of the two signatures, the second one, is that yours?
 - A. Yes.
- Q. Is that a circumstance where you put your electronic signature on the page?
- **A.** Yes.
- Q. Okay. Actually, in what capacity did you sign this document?
 - A. As the managing member, the manager of Backertop.
 - Q. I'll ask you to go back to the first page. And look at the first paragraph under the Roman numeral one, responsibilities. Take a moment to read that to yourself.
 - **A.** And how much do you want me to read of it, like the whole?
 - Q. The whole thing. It's actually one very long sentence, so if you just read that one sentence.
- **A.** One paragraph?
- **Q.** Yes.
- **A.** Okay.

- Q. Do you recall reading that sentence when you signed the document?
 - A. Yes.

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- Q. Does that paragraph, does it identify the services that Mavexar is authorized to provide to Backertop?
 - A. Yes.
 - Q. Does the agreement authorize Mavexar to do anything regarding the patents other than the items listed in that paragraph?
 - A. No.
 - Q. Does the agreement authorize Mavexar to sell the patents?
 - A. No.
 - Q. Does the agreement authorize Mavexar to make use of the patents itself?
 - A. No.
 - Q. Could Mavexar settle any case without your approval?
 - A. No.
 - Q. Will you please turn to the third page.

20 THE COURT: By the way, you think that helps
21 her? So you think you're here asking me to give
22 Ms. LaPray leniency, and you're reading a document, of
23 which I think the truthfulness has already been called
24 into question. And you're suggesting by your questions
25 that Ms. LaPray really did have actual authority, and that

IP Edge and Mavexar did not act without her authority?
That's what you're saying.

Because understand, Mr. Finger, it seems to me what you are adducing would do the opposite of asking for leniency.

MR. FINGER: Respectfully, Your Honor, that's not what I'm going for. I'm not going for her control.

I'm going for the extent of Mavexar's control.

THE COURT: Right. And so that begs the question, who are you really representing here?

That question seems to me to be designed to adduce a statement that is favorable to Mavexar and contrary to Backertop and, more importantly, where we are now, to Ms. LaPray's individual interests.

MR. FINGER: Well, Your Honor.

THE COURT: But I'll leave it to you.

MR. FINGER: Thank you.

THE COURT: You are Backertop's lawyer.

MR. FINGER: But if I could put my cards on the table on the issue of ownership. As you know, Your Honor, everyone who had Property 101 in law school knew that ownership involves a bundle of sticks, at least that's what I was taught, each stick representing an individual right, the right to use property, the right to use alienated property.

And so I am identifying boundaries, rights that they had and rights that they didn't have. I believe that goes to the -- that goes to the legal ownership. I understand Your Honor's point about the fact of ownership.

But again, in my research, which has not been extensive at this point, but I have researched, is the case that, if you don't have the right to sell a property, if you don't have the right to use the property, you're not an owner. And you're not a de facto owner either. So that's the legal argument I will be making.

THE COURT: And I find it interesting the legal argument, it seems to me, you're making is an advance or to advance, rather, the interests of Mavexar and IP Edge.

And I just remind you, you are Backertop's counsel.

MR. FINGER: I understand that, Your Honor.

THE COURT: And Backertop is solely owned, consists of one member, who is sitting in the witness stand, and for whom you have asked for leniency. And I think your questions are quite remarkable given that, but go ahead.

MR. FINGER: Thank you, Your Honor.

In light of Your Honor's comments, I will have no other questions.

THE COURT: You may step down.

THE WITNESS: Thank you, Your Honor. Would you

like this exhibit as well?

(Witness steps down.)

THE COURT: All right. So all that remains is for me to address the argument for leniency on the fine.

And I'm not going to reduce the fine. Ms. LaPray, let me explain why.

For starters, you played a role, albeit I think a minimal role, in the orchestrating...and even using the word "orchestrating," it shouldn't apply to you because I think you are largely a pawn. That's what the facts suggest to me.

I think as we, for instance, discussed at the outset of this hearing, somebody submitted to the Patent and Trademark Office documents using your name, using Backertop as the named title holder of the patents, and represented to the PTO, and, by that, represented to the world at large, that Backertop, and Backertop alone, held exclusive title to the asserted patents.

And yet, the document that we looked at titled Patent Assignment Agreement indicates otherwise. And the agreement between Daedalus and Terrace indicates otherwise. So somebody using Linh Dietz's e-mail from IP Edge was deceiving the PTO and deceiving the world at large, deceiving this Court and deceiving the defendants who were sued, and your name was used to form Backertop

with your permission and to bring these lawsuits.

And I understand you were not well served by Mr. Burns or Mr. Chong. They never obtained from you informed consent to take any action on behalf of Backertop or you personally.

I've referred Mr. Chong already to disciplinary counsel. I will, as a result of this hearing and the Backertop production, refer Mr. Burns to his respective disciplinary counsel.

I will also, again, refer these matters now to the Department of Justice consistent with what I said in the Nimitz opinion. And I do believe that, to a large extent, Ms. LaPray is a victim in this case. But she's not a complete victim in that she did lend her name to be used.

And I understand your personal circumstances were very challenging, but they should have, perhaps, been brought to my attention. This has taken years instead of really weeks to resolve.

And it would send a terrible message to the folks at IP Edge and Mavexar, to the litigants or the nominal owners they're recruiting or they were recruiting to bring suits, which are no longer brought in this court. They're being brought in Texas now, it's very clear, because of the disclosures that were required to be made

in this Court.

But somebody has to send a strong signal that this Court will not tolerate that kind of deceit.

And then the other reason, and this is really important, Ms. LaPray, I'm not going to mitigate the fine is because I think you should consult with a lawyer about whether you have causes of action against Mavexar and IP Edge and the principals associated with those entities that led you down this road, that did not consult with you as we've established this morning.

Nobody, according to your testimony, from

IP Edge or Mavexar from Fresh IP from the Jimmy Chong firm

reached out to tell you that August Home was going to go

after Backertop to recover attorney fees and costs. Could

have been costly.

My sense is Mavexar will pay that fine because they've gone to extraordinary efforts in this case to keep from the public their machinations. And so I'd be surprised if they don't come forward.

But I would advise you to consult with a lawyer, perhaps, somebody other than Mr. Finger, a lawyer who understands that your personal interests do not completely overlap with Mavexar's interests and IP Edge's interests or the individuals associated with those entities.

1	So I am going to reduce the fine to a judgment,
2	and I will bring it to the attention of the Department of
3	Justice. Their financial litigation unit will be
4	responsible for enforcing the judgment. And as I say, I
5	think you should consult with counsel about how to address
6	that. All right.
7	So this matter is concluded at this point.
8	I've mentioned the referrals I'll make.
9	Anything else, Mr. Finger?
10	MR. FINGER: No, thank you, Your Honor.
11	THE COURT: All right.
12	Good luck, Ms. LaPray.
13	(The proceedings concluded at 10:30 a.m.)
14	
15	
16	CERTIFICATE OF COURT REPORTER
17	
18	I hereby certify that the foregoing is a true and
19	accurate transcript from my stenographic notes in the
20	proceeding.
21	/s/ Bonnie R. Archer
22	Bonnie R. Archer, RPR, FCRR Official Court Reporter
23	U.S. District Court
24	
25	

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·	being [10] 5/25 8/4	can't [5] 4/18	complaint [1] 41/17	corresponder the
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53/25 54/1 authorize [3] 53/7 53/11 53/14	12/16 14/14 19/8 19/23 30/7 32/20 48/5 57/24	29/19 30/9 31/18 44/16 CANARY [1] 1/11	<pre>complete [1] 57/14 completely [1] 58/23</pre>	[1] 14/22 cost [1] 4/15 costly [1] 58/15
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